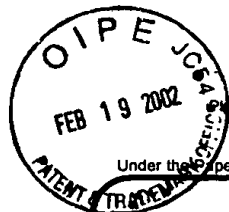


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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/028,657	
	Filing Date	December 21, 2001	
	First Named Inventor	Vlad J. Novotny	
	Group Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	3	Attorney Docket Number	A0-001-10

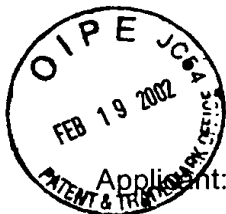
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arthur J. Behiel
Signature	<i>Arthur J. Behiel</i>
Date	January 29, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vlad J. Novotny
Assignee: Active Optical Networks, Inc.
Title: "PATTERN-TRANSFER PROCESS FOR FORMING MICROELECTRO-MECHANICAL STRUCTURE"
Serial No.: 10/028,657 Filed: December 21, 2001
Examiner: Unknown Tel: Unknown
Docket No.: AO-001-1D Art Unit: Unknown

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 1 document listed on the enclosed PTO-1449 form to the Examiner's attention in the above-captioned application. This document was previously cited on the PTO-1449 form (page 4 of 4, line BF) sent in with the original application filing dated December 21, 2001. At the time the source date was unknown. We have since contacted the author and have been informed the originating date is February 1999. The PTO-1449 Citation of the listed document shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

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January 29, 2002
Date

Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603

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Sheet 1 of 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Filing Date: 12/21/01	
IN-TRANSFER PROCESS FOR FORMING MICRO-ELECTRO-MECHANICAL STRUCTURE"						Inventors: Vlad J. Novotny	
						Group Art Unit: Unknown	
						Examiner Name: Unknown	
						Attorney Docket No.: AO-001-1D	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date, If Appropriate
	AA						
	AB						
Foreign Patent Documents							
							Translation
		Document Number	Date	Country	Class	Subclass	Yes No
	AC						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AD	D.J. Bishop Presentation, "Silicon Micromachines for Lightwave Networks: Can Little Machines Make it Big?" Lucent Technologies, Bell Labs Innovations. 83 pages. February 1999.					
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